

18 NCAC 06A .1302 REGISTRATION BY COORDINATION

Application for registration by coordination shall be filed with the administrator using the Uniform Application To Register Securities (Form U-1). Documents necessary to establish eligibility for registration by coordination shall be attached.

*History Note: Authority G.S. 78A-26; 78A-49(a);
 Eff. April 1, 1981;
 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December 6,
 2016.*